Introduction

Potentialist systems

Conclusion

What if your potentialism is implicitly actualist?

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Modal understanding of potentialism

The potentialist/actualist debate goes back to Aristotle

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Recent work emphasizes a modal understanding of potentialism

- System of possible worlds
- Currently actual universe fragment is one
- Modal operators $\diamondsuit \varphi$, $\Box \varphi$

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- Modal operators $\diamondsuit \varphi$, $\Box \varphi$

Fundamental aspects of various potentialist conceptions are often revealed in the modally expressible features.

For any given potentialist conception, we should seek to find exactly the modal logic that is expressed.

Diverse potentialist conceptions

We are faced with an enormous range of distinct potentialist conceptions.

- Many different kinds of arithmetic potentialism
- Many different kinds of set-theoretic potentialism

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- Many different kinds of arithmetic potentialism
- Many different kinds of set-theoretic potentialism
- More general forms of potentialism, modal model theory, potentialist category theory

The various potentialist conceptions express subtly different philosophical ideas about the nature of potentialism and often have importantly different modal features.



I shall argue several points.

Many common forms of potentialism are implicitly actualist.



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- In these cases, the actualist and potentialist perspectives are bi-interpretable.
- Consequently, for these forms of potentialism, there is little at stake in the actualism/potentialism dispute—they are simply two ways of looking at the same subject matter.
- Meanwhile, other forms of potentialism are not like this.
- The central distinction in potentialism should therefore become: convergent potentialism vs. divergent.

Potentialist systems

Initial-segment potentialism in arithmetic



The classic potentialist conception

Aristotelian potentialism

Possible worlds consist of all numbers up to some *n*.

$$u = \{0, 1, 2, \dots, n\}$$

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Modal validities are exactly S4.3.

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Nonlinear convergent arithmetic potentialism



Arbitrary set arithmetic potentialism

Possible worlds = any finite set of numbers

Large numbers may come into actuality before some smaller numbers.

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Numbers arrive in order of complexity of their descriptions. Kolmogorov complexity. Googolplex 10^{10¹⁰⁰} comes early.

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Model-theoretic potentialism



Various model-theoretic potentialist conceptions arise by using models of PA as the possible worlds.

End-extensional potentialism, for example, expresses a potentialist conception of *realms of feasibility*—speaks to ultrafinitism.

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End-extensional potentialism, for example, expresses a potentialist conception of *realms of feasibility*—speaks to ultrafinitism.

Theorem (Hamkins [Ham18])

The modal validities of arithmetic end-extensional potentialism are exactly S4.

The proof makes use of the universal algorithm.

End-extensional potentialism

Every world accesses its end extensions $M \sqsubseteq N$. Validities are exactly S4.

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Every world accesses the worlds of which it is a submodel. Validities are exactly S4.

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And many more, [Ham18].



Conclusion

Enormous range of set-theoretic potentialism

Similarly we have numerous conceptions of set-theoretic potentialism.

Let me quickly mention several.

Potentialist systems

Conclusior

Set-theoretic rank potentialism



Possible worlds = V_{α} , rank-initial segment of the universe

A set-theoretic analogue of Aristotelian potentialism.

Perhaps the canonical example of height potentialism

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Modal validities = S4.3.
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S5 is valid at V_{κ} for \mathcal{L}_{\in} iff κ is Σ_3 -correct

S5 is valid at V_{κ} for $\mathcal{L}_{\in}^{\diamondsuit}$ iff κ is fully correct.

Forcing potentialism



Worlds = models of set theory

Every world M accesses its forcing extensions M[G].

Canonical example of width potentialism.

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(Hamkins+Löwe [HL08]) The modal logic of forcing is exactly S4.2.

(Hamkins [Ham03]) And yet, also consistent that a model validates S5 for sentences

Top-extensional potentialism



Top-extensional potentialism



Potentialist systems

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Set-theoretic end-extensional potentialism

Possible worlds = models of set theory



Each world accesses its end-extensions $M \sqsubseteq N$, which means new sets appear, but no set gains new elements.

A hybrid height/width potentialism

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A hybrid height/width potentialism

(Hamkins+Williams [HW21]) Modal validities are exactly S4.

There is divergent branching in this conception.

The proof uses a set-theoretic analogue of the universal algorithm: the universal Σ_1 -definition.

Submodel potentialism

Possible worlds = models of set theory

World *M* accesses *N* when $\langle M, \in^{M} \rangle$ is a submodel of $\langle N, \in^{N} \rangle$.
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In particular, sets can gain new elements! The empty set is not necessarily empty.

(Hamkins [Ham13; HL22]) The modal validities are a surprise: exactly S4.3

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Potentialist systems

A potentialist system is:

- A collection \mathcal{W} of structures M in a common language \mathcal{L}
- a reflexive transitive relation on these structures \Box
- whenever $U \sqsubseteq W$, then U is a substructure of W.

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Alternative approach: $U \sqsubseteq W$ means there is an embedding $U \hookrightarrow W$. A system of counterparts.

A subtle point about accessibility

Do we want to say that *M* accesses *N* requires $M \subseteq N$?

Or should we focus on embeddings $M \hookrightarrow N$?

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Do we want to say that *M* accesses *N* requires $M \subseteq N$?

Or should we focus on embeddings $M \hookrightarrow N$?

Latter case leads to distinction between convergence & amalgamation

In my view, we would benefit from greater philosophical analysis of this distinction for potentialism.

Direct extension

Conforms with usual philosophical picture of potentialism.

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Models philosophical counterpart theory of individuals.

Feature: algebraic systems often have robust amalgamation.

Good news [HW24]: modal assertions in Mod(T) are the same! In fact [AD22]: the two Kripke structures are bisimilar.

Convergent potentialism

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The limit structure may have a fundamentally different nature than the possible worlds.

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Linear inevitabilism



Convergent potentialism often arises because the possible worlds are linearly ordered, building up to a limit world in a linear coherent manner.

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$$\diamondsuit \Box \varphi \to \Box \diamondsuit \varphi$$

But also:

 $\Diamond \varphi \land \Diamond \psi \to [\Diamond (\varphi \land \Diamond \psi) \lor \Diamond (\psi \land \Diamond \varphi)]$

S4.3 is valid, and often exactly S4.3 is valid.

Potentialist systems

Nonlinear convergent potentialism



Other forms of potentialism are not linear, but nevertheless convergent.

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Still get validity of

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Some forms of potentialism are not convergent.

Nonconvergence often occurs when a potentialist conception has divergent branching.

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Aristotelian potentialism, rank potentialism. Linear and convergent.

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Forcing potentialism, where generics are chosen as factors of a fixed limit model, collapsing everything (Steel, Scambler).

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Forcing potentialism in the general case. Non-amalgamation phenomenon: extensions M[c], M[d] with no common forcing extension. (And yet, S4.2 is valid.)

The potentialist translation

For any assertion φ , define the *potentialist translation* φ^{\diamondsuit} by:

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Theorem [HL22]

With convergent potentialism, if a potentialist system \mathcal{W} of possible worlds converges to limit model M, then

$$M \models \psi$$
 if and only if $W \models_{\mathcal{W}} \psi^{\diamondsuit}$

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Theorem [HL22]

With convergent potentialism, if a potentialist system \mathcal{W} of possible worlds converges to limit model M, then

$$M \models \psi$$
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Thus, actualist truth in the limit model is expressible within potentialism.

Convergent potentialism is implicitly actualist

Using only the potentialist ontology, therefore, the convergent potentialist has a full accounting of:

- actualist objects
- actualist structure
- actualist truth, via the potentialist translation

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Using only the potentialist ontology, therefore, the convergent potentialist has a full accounting of:

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The potentialist denies the limit model exists, yet seems to know everything about it.

Potentialist system

Implicit actualism

The convergent potentialist can thus give a completely clear account of the actualist model—like interpreting \mathbb{C} in \mathbb{R} .

The actualist model is interpretable in the potentialist ontology—there is nothing missing.

Implicit actualism

The convergent potentialist can thus give a completely clear account of the actualist model—like interpreting \mathbb{C} in \mathbb{R} .

The actualist model is interpretable in the potentialist ontology—there is nothing missing.

For the convergent potentialist to deny the actualist model is rather like accepting \mathbb{R} but rejecting \mathbb{C} .

For this reason, I claim, there is little at stake in the dispute between convergent potentialism and actualism.

Convergent potentialism and actualism are two different views of the same subject matter.

A possible objection

Objection

The bi-interpretation is provable and sensible only from the actualist point of view.

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Response

The potentialist can undertake the potentialist translation wholly within the potentialist ontology.

The potentialist thereby gains access to the full theory of what it is like in the actualist limit model.

Even the actualist agrees that this account is correct.

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Response

The potentialist can undertake the potentialist translation wholly within the potentialist ontology.

The potentialist thereby gains access to the full theory of what it is like in the actualist limit model.

Even the actualist agrees that this account is correct.

Situation is very similar with interpreting \mathbb{C} in \mathbb{R} .

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Implicit actualism in arithmetic potentialism

Consider classic Aristotelian potentialism.





Consider classic Aristotelian potentialism.

This potentialist conception seems to arise from an actualist idea of what numbers are.

Implicit actualism

- The coherency of the potentialist conception presumes the coherency of the limit structure
- Built into the potentialist conception is an actualist conception of N
- Actualist truth is interpretable via the potentialist translation.

This is the sense in which the view is implicitly actualist.

 M_3

 M_2

 M_1

М

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Potentialist systems

Rank potentialism, linear inevitability

Similarly with set-theoretic rank potentialism.

The rank potentialist can define truth in the limit model; and conversely.

Rank potentialism, linear inevitability



Similarly with set-theoretic rank potentialism.

The rank potentialist can define truth in the limit model; and conversely.

The two views—actualism versus potentialism—are two different, but equivalent perspectives on the same subject matter.

There seems little tension between this form of potentialism and actualism. No dispute between them.

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A misplaced analogy



Potentialist takes currently actual world as unfinished, continuing in further possible worlds.

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Potentialist takes currently actual world as unfinished, continuing in further possible worlds.

This is how the potentialist answers the Q: "Why aren't there more ordinals than the actual ordinals?"

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actual

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Potentialist takes currently actual world as unfinished, continuing in further possible worlds.

This is how the potentialist answers the Q: "Why aren't there more ordinals than the actual ordinals?"

But actualist's actual world is not any world in system, but rather the limit of all of them.

Q: "Why aren't there more ordinals than the possible ordinals?"

Potentialist and actualist seem in same boat.

Same issue for the universal set, Russell set.

M₃ Mo M_1 М possible Actualist

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Divergent potentialism



The various divergent potentialist conceptions, in contrast, have a fundamentally different character.

In these systems, there is no actualist limit model to which the possible worlds are converging.

Divergent potentialism



The various divergent potentialist conceptions, in contrast, have a fundamentally different character.

In these systems, there is no actualist limit model to which the possible worlds are converging.

Here, the actualist world is a mirage, different every time the possible worlds are traversed.

In such a system, sitting at one of the possible worlds, the potentialist can seem to give no account of any final actual world.

This seems in many respects more genuinely potentialist.

Potentialist systems

Main philosophical conclusion

Convergent forms of potentialism can be seen in many respects as forms of actualism.

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Furthermore, the underlying conception of convergent potentialism seems to be grounded in a coherent conception of the limit model itself.

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They interpret actualist objects, structure, and truth.

Furthermore, the underlying conception of convergent potentialism seems to be grounded in a coherent conception of the limit model itself.

That picture is what I call implicitly actualist.

Divergent potentialism

Divergent potentialism, in contrast, seems more truly potentialist—possible worlds unfold not necessarily in accordance with any actual limit model.

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Divergent potentialism, in contrast, seems more truly potentialist—possible worlds unfold not necessarily in accordance with any actual limit model.

For these reasons, I find the central distinction to make in potentialism to be:

convergent potentialism versus divergent potentialism

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Varieties of potentialism



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Thank you.

Slides and articles available on http://jdh.hamkins.org.

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